

SUPERIOR COURT OF NEW JERSEY
LAW DIVISION - MORRIS COUNTY
Docket No. L-3475-06

**Certified
Transcript**

CAROLINE CAREY and :
 BERNARD CAREY, : Deposition of:
 :
 Plaintiffs, : MICHAEL S. DREW, MD
 :
 vs. :
 :
 SAINT CLARE'S HOSPITAL, :
 INC., a/k/a SAINT :
 CLARE'S HOSPITAL/DENVILLE :
 IRVING G. PEYSER, MD, :
 H.K. LEE, MD, et als., :
 :
 Defendants. :
 ----- :

TRANSCRIPT of testimony as taken by and
 before PATRICIA A. SANDS, a Shorthand Reporter
 and Notary Public of the States of New York and
 New Jersey, at the offices of THE JACOB D.
 FUSCHBURG LAW FIRM, 500 Fifth Avenue, New York,
 New York, on Monday, February 16, 2009,
 commencing at 10:00 in the forenoon.

Job No. 191913

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A P P E A R A N C E S:

THE JACOB D. FUCHSBERG LAW FIRM, LLP
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I N D E X

WITNESS	EXAMINATION
MICHAEL S. DREW, MD	
Ms. Schwartz	4, 75
Ms. Lewis	70

E X H I B I T S

NUMBER	DESCRIPTION	PAGE
DREW		
1	CV	5
2	Report	5

(Exhibits retained by Ms. Lewis.)

1 M I C H A E L S. D R E W, M D,
2 70-10 Austin Street
3 Forest Hills, New York 11375,
4 having been sworn, was examined
5 and testified as follows:

6 DIRECT EXAMINATION BY MS. SCHWARTZ:

7 Q Dr. Drew, my name is Rachel Schwartz,
8 I introduced myself earlier. You've had your
9 deposition taken before?

10 A Yes.

11 Q I can dispense with the normal
12 instructions?

13 A Yes.

14 Q One instruction I will give, if I ask
15 you a question that you don't understand -- for
16 whatever the reason, it makes no medical sense,
17 I mumble, I jumble, I talk too fast -- you let
18 me know, okay?

19 A Yes.

20 Q You authored one report in this
21 matter?

22 A Yes.

23 Q It is dated September 30, 2008?

24 A Correct.

25 Q I'm going to hand you a CV. Can you
tell me if this is complete and up to date.

1 (Exhibits Drew 1 and 2 marked for
2 identification.)

3 Q For the record, we have marked as
4 Drew 1, a curriculum vitae and as Drew 2 your
5 report.

6 The curriculum vitae, is this complete and
7 up to date?

8 A Yes.

9 Q No additions or deletions need to be
10 made?

11 A Nothing substantial.

12 Q You're currently practicing medicine?

13 A Yes.

14 Q On a full-time basis?

15 A Yes.

16 Q What is your practice?

17 A I'm in full-time practice with one
18 other person, we practice general surgery.
19 Today it's exclusively at North Shore Hospital
20 in Forest Hills. I have privileges at Mount
21 Sinai, I haven't practiced there in the last
22 four or five years. I'm the Associate Director
23 of Surgery at the hospital. And director,
24 Co-director of the Bariatric Surgery Program.
25 I'm in private practice, and I have a

1 salary at the hospital as well.

2 Q Your privileges to practice medicine
3 at any hospital, have they ever been suspended,
4 revoked or questioned?

5 A No.

6 Q You are licensed to practice medicine
7 in what states?

8 A Just New York.

9 Q Have you ever held a medical license
10 in another state?

11 A No.

12 Q Has your license to practice medicine
13 in New York ever been suspended, revoked or
14 questioned?

15 A No.

16 Q Have you ever been sued for
17 malpractice?

18 A Yes.

19 Q On how many occasions?

20 A I think about eight times.

21 Q Any of them involve a failure to
22 diagnose cancer?

23 A No.

24 Q Are any of these cases currently
25 pending?

1 A There is, I think two that are
2 current. Two.

3 Q On the other six times, did you
4 settle, try?

5 A Three were settled and two were, I
6 was dismissed.

7 Q Can you in one sentence or less give
8 me each one of them?

9 A One of the settlements was a death in
10 a bariatric case, the woman died about four
11 months post-op. Another case was a pain after
12 a hernia, or recurrent inguinal hernia. They
13 alleged entrapment.

14 Q That's a settle?

15 A That was settlement. And there was a
16 settlement on a common duct injury.

17 Q The two that are pending, just give
18 me the allegations.

19 A Bariatric case, a patient came in
20 with a leak --

21 Q That's it.

22 A Okay.

23 Q I don't want to go into anymore. You
24 have counsel for that.

25 A Right.

1 Q So I would prefer not to get into
2 that.

3 A Okay.

4 Q And what was the other one that's
5 pending?

6 A The other one is a colonoscopic
7 perforation that I happened to take care of
8 afterwards.

9 Q The two cases you were dismissed?

10 A There was an appendectomy.

11 Q Okay. What was the other one?

12 A A wound infection following a biliary
13 surgery.

14 Q How much of your practice involves
15 breast cancer?

16 A About 20 percent. I would say
17 20 percent. I do about 500 cases a year,
18 probably 20 percent of my operations are breast
19 related. Probably more in terms of breast
20 patients that you don't end up operating on,
21 but around 20 percent I would say is
22 reasonable.

23 Q Do you have a sub-specialty in
24 general surgery?

25 A No.

1 Q You're just straight general surgeon?

2 A I do general surgery. The bulk of
3 what I do is abdominal, but I do the full gamut
4 of general surgery.

5 Q Have you published on the issue of
6 breast cancer?

7 A No.

8 Q Do you sit in any multi-disciplinary
9 breast center?

10 A Do I --

11 Q Do you treat patients as part of any
12 multi-disciplinary breast center?

13 A No.

14 Q Your parents that you deal with
15 breast, issues whether you operate or not, who
16 are they referred to you by?

17 A Most were referrals from the doctors
18 that I deal with.

19 Q Are they OB/GYNs, are they
20 internists?

21 A They are both. OB/GYN I would say is
22 the bulk of it, and internist is the other
23 group. Some are self referred..

24 Q You have acted as an expert in other
25 cases?

1 A Yes.

2 Q On how many occasions?

3 A I have been doing reviews for about
4 10 years, maybe 11 years now. I probably see
5 on the average three or four cases a month, of
6 which I become involved in probably one or two
7 of those. And that's a little bit less in the
8 beginning, a little bit more now. But I
9 probably do about one to two cases a month that
10 I have to author a report. Or in New York you
11 don't need to author a report.

12 Q But out of the three to four cases
13 per month that you review, you opt to act as an
14 expert in one to two of those cases?

15 A Yes. Probably around 50 percent or
16 more I would say I deem not -- without merit
17 for me.

18 Q Can you break it down, plaintiff
19 versus defense work?

20 A Most of my stuff is plaintiff, and
21 that's just what's offered to me. I have done
22 some defense work, two or three cases in the
23 time I met attorneys at depositions and they've
24 asked me to be involved. But most of my work
25 is plaintiff.

1 Q You said two to three cases defense?

2 A Yes.

3 Q All total?

4 A Yes.

5 Q Have you ever taught in the area of
6 breast surgery or breast cancer?

7 A I used to cover the breast clinic at
8 Mount Sinai. When I came to North Shore, I
9 covered the breast clinic. I have given
10 lectures to medical students and residents on
11 breast, you know, breast diseases. I have
12 spoken to the community about breast cancer and
13 breast diseases.

14 Q When is the last time you did that?

15 A I would say about two or three years
16 ago at North Shore.

17 Q And two or three years ago and prior
18 to that, how frequently were you giving these
19 lectures?

20 A At one time I was probably doing them
21 every year, as part of an ongoing series, and
22 breast cancer was one of the topics. So I
23 would say for about four or five years I
24 probably did it every year.

25 Q Once a year for four or five years?

1 A Once a year, yes.

2 Q Have you ever acted as an expert with
3 the Fuchsberg Law Firm before?

4 A No.

5 Q Do you review cases on behalf of any
6 referral services?

7 A I have one referral service, that
8 it's called "Second Opinion". They are located
9 in New Jersey. And as I know them to be, they
10 act as a clearing house for -- they get cases,
11 they put it together, and they have a roster of
12 experts which they use, and I'm one of them.
13 There is no financial arrangements between
14 them. If I decide to get involved, then my
15 communication is directly with the attorneys.

16 Q Well, how does Second Opinion make
17 any money?

18 A I think the attorneys pay them for
19 collating the cases and organizing. I don't
20 know of any other way, but the doctors -- or at
21 least I don't have any financial relationship
22 with them.

23 Q Are you paid by Second Opinion or by
24 the attorney directly?

25 A By the attorney. Everything is from

1 the attorney.

2 Q Did this case come from Second
3 Opinion?

4 A No.

5 Q Do you know how they got your name in
6 this case?

7 MS. LEWIS: Objection.

8 You can answer.

9 THE WITNESS: I don't remember. I --
10 I don't remember.

11 Q Do you advertise your medical/legal
12 services?

13 A No.

14 Q Have you ever?

15 A No.

16 Q Are you board certified?

17 A I'm board certified and re-certified
18 twice.

19 Q In what speciality?

20 A In general surgery.

21 Q How many times did you sit for the
22 boards?

23 A Each time I passed on my first
24 attempt.

25 Q Have you attempted any other boards?

1 A No.

2 Q Is there a board certification in
3 breast surgery, breast disease?

4 A No. No.

5 Q Are there special certifications
6 available in breast surgery or breast disease?

7 A Not that I am aware of. People do
8 oncology, but not a, as a specific for breasts.

9 Q Now, you're a general surgeon -- I
10 just want to understand the difference. Okay,
11 you are a general surgeon who works on breasts
12 about 20 percent of the time; correct?

13 A Correct.

14 Q In those 20 percent of cases, how
15 many of those cases are dealing with breast
16 cancer?

17 A I would say probably one a month. I
18 probably deal with one or two breast cancers a
19 month that I personally deal with. My partner
20 probably has the same amount. So in the
21 practice, we deal with probably 25 to 50 cases
22 a year.

23 Q Who is your partner?

24 A His name is Moises, M-O-I-S-E-S,
25 Tenenbaum, T-E-N-E-M-B-A-U-M. We have been

1 practicing together for almost 30 years.

2 Q Moises, M-O-Y-S-E-S?

3 A M-O-I.

4 Q M-O-I?

5 A Yes. The Argentinian version.

6 Q Do you consider yourself a surgical
7 oncologist?

8 A No.

9 Q So in these breast cancer patients,
10 you only deal with the surgery?

11 A I only deal with the surgery.
12 Absolutely. If they need chemotherapy,
13 radiation therapy, I make the appropriate
14 referrals.

15 Q Do you have any discussions with
16 patients as to their prognosis with regard to
17 the cancer?

18 A It will often come up. Again, most
19 of the time I would defer to the oncologists,
20 but, you know, you're dealing with people and
21 they have questions and I will answer them.

22 Q But when it comes to oncology issues,
23 you would defer to an oncologist?

24 A Yes.

25 Q Or a surgical oncologist?

1 A A medical oncologist.

2 Q Is there a surgical oncology
3 speciality?

4 A I think there is a surgical oncology
5 speciality. I don't know if there is a
6 specific board, but you can certainly take a
7 fellowship in surgical oncology.

8 Q And there are physicians who
9 specialize in surgical oncology?

10 A Yes.

11 Q Do you know Dr. Billy Diehl?

12 A I don't.

13 Q You have authored one report in this
14 case?

15 A Yes.

16 Q September 30, 2008; correct?

17 A Correct.

18 Q Did you dictate the report and have
19 it transcribed?

20 A Yes.

21 Q You dictated the entire report
22 yourself?

23 A Yes. This is the -- at this time, I
24 think I was dictating them to my secretary and
25 she would transcribe it.

1 Q And you dictated the entire report
2 yourself?

3 A Yes.

4 Q Did you use any other report to get
5 the background facts into your report?

6 A The only -- the material I used was 1
7 through 10. So that that's what the background
8 material that I used that are listed there.

9 Q Did you have the report of
10 Dr. Maniatis?

11 A No. Since this report, I have seen
12 the reports of Dr. Diehl, of Dr.. Bill -- the
13 radiologist, Matusi. I have seen the report of
14 Dr. Deligdisch. I have seen -- there is
15 another radiology report, I think the defense
16 report.

17 Q Maniatis?

18 A Maniatis is one.

19 Q Have you reviewed anything else since
20 the preparation for your report?

21 A No.

22 Q Has your review of any of those
23 materials changed your opinion in this matter?

24 A No.

25 Q When did you review the defense

1 expert reports?

2 A Oh, I would say in the last week in
3 preparation for this.

4 Q Did you review any medical literature
5 in preparation for your report?

6 A No.

7 Q Did you review any medical literature
8 in preparation for your deposition?

9 A No. The only thing I looked at was
10 a, ah, I went on the -- I Googled the Gail,
11 G-A-I-L, model, to refresh what I thought about
12 it.

13 Q Now your report has the same
14 footnotes as the report of Dr. Maniatis. Did
15 you note that?

16 A Well, when I wrote this, I didn't
17 see, ah -- you know, this may have been some
18 something that Ms. Lewis asked me to do, but I
19 didn't see his or her report at the time.

20 Q Okay, so I'm going to show you
21 Dr. Maniatis's report. And in particular,
22 those footnotes that are on the bottom. If you
23 look at the text above, it is identical.

24 A Yes.

25 Q Now, is it your testimony that you

1 created that report, that language in your
2 report through dictation?

3 A This report -- I wrote a report, then
4 I gave it to Ms. Lewis who, we went over it,
5 and she asked if it would be okay if we put
6 that in.

7 Q Okay.

8 A I, you know, as a legal point of, as
9 a legal matter, I have no objection to what
10 it -- it didn't alter what my feelings are on
11 it. So that's where that came from.

12 Q Does this report contain a summary of
13 all of your opinions in this matter?

14 A Yes.

15 Q When you get pathology reports back
16 from a physician, do they often have
17 recommendations in them?

18 A Pathology reports generally do not.
19 Radiology reports might, but I think pathology
20 reports usually stand by themselves.

21 Q So it's up to the physician to make
22 an interpretation of the pathology, and make
23 the appropriate recommendations?

24 A Yes.

25 Q You would agree that there are

1 different grades of hypoplasia?

2 A Absolutely.

3 Q Have you reviewed any depositions in
4 this matter, in addition to what you have
5 reviewed as listed in your report of
6 September 30?

7 A Yes.

8 Q What depositions?

9 A The deposition of Dr. Diehl, the
10 deposition of the oncologist --

11 Q Doctor Diehl wasn't deposed. Not the
12 reports.

13 A I'm sorry.

14 Q A deposition.

15 A The only deposition -- oh, I'm sorry.
16 The only deposition I have seen is
17 Dr. Deligdisch's deposition.

18 Q When did you look at that?

19 A Also within the last several days.

20 Q Was there anything that you had
21 requested but did not receive?

22 A No.

23 Q You would agree that certain grades
24 of hyperplasia are more likely to be
25 premalignant?

1 A Yes.

2 Q And those deal with the atypical
3 hyperplasia?

4 A Atypical has a higher incidence of
5 predisposition to cancer, but the idea that
6 hyperplasia in and of itself has no increased
7 incidence of, ah, no increased predisposition,
8 I would disagree. That seemed to be the
9 premise of your deposition with Dr. Deligdisch
10 and many of the reports. So that I disagree
11 with strongly.

12 Q Atypical cells, in and of themselves,
13 can put a patient at higher risk for breast
14 cancer?

15 A Atypical hyperplasia has a higher
16 predisposition to breast cancer than does
17 hyperplasia. But they both have an increased
18 predisposition to cancer.

19 Q Hyperplasia, in and of itself, has an
20 increased risk of the patient developing breast
21 cancer?

22 A Absolutely.

23 Q The question then is how much of an
24 increased risk; right?

25 A Yes.

1 Q And the question then becomes, at
2 what point do you need to do something about
3 it; right?

4 A Yes.

5 Q So it's your testimony, then, that
6 hyperplasia at no time -- strike that.

7 It's your testimony that hyperplasia in
8 all forms presents some increased risk of
9 cancer?

10 A I think that hyperplasia, in all
11 forms, represents an increase.

12 Now, if we're talking about Ms. Carey, she
13 had more than just hyperplasia. She had
14 hyperplasia with papillary type, she had
15 sclerosing adenosis. And she had, ah -- I'm
16 blocking out. There was one other issue that I
17 felt increased -- she had papillomatosis, if I
18 recall. So she had several issues, several
19 factors in that pathology report which increase
20 her predisposition to forming breast cancer.

21 Q You would agree that there is no
22 relationship between inter-ductal papillomas
23 and florid hyperplasia?

24 A I don't think there is a
25 relationship.

1 Q So you would agree with my statement?

2 A I do.

3 Q Can you give me a percentage of times
4 where hyperplasia, not atypical hyperplasia --
5 strike that.

6 Is it your testimony that hyperplasia of
7 the atypical type eventually progresses and
8 turns into cancer?

9 A Not always. The numbers that you
10 want to attach to it, roughly it has about a
11 five times -- a woman who has a biopsy which
12 has atypical hyperplasia, has a five-time
13 greater chance of developing cancer than a
14 woman who doesn't have atypical hyperplasia.
15 However, a woman with hyperplasia probably has
16 a two times greater.

17 So we're dealing, as I say, we may, you
18 know, a lot of, I saw the reports quibbled over
19 the idea that it's not atypical. Hyperplasia
20 and especially papillary, and especially when
21 you add it with sclerosing adenosis, as she
22 did, there is nobody who would disagree,
23 including the expert reports I saw, who said
24 she wasn't at an increased risk of developing
25 breast cancer.

1 Q The only issue is what needs to be
2 done for that?

3 A That's right.

4 Q Okay.

5 A That's right.

6 Q Of your patients, how many of your
7 patients return with some type of hyperplasia,
8 atypical or otherwise?

9 A I would say the vast majority,
10 probably -- I would say the majority don't.
11 Many of the biopsies we see are fibrocystic
12 changes. You can have papilloma, you can have
13 a fibroid adenoma, which is a common thing.

14 So, you know, when you get hyperplasia and
15 when you start getting sclerosing adenosis,
16 that puts it in a different level of
17 surveillance.

18 Q You're saying "majority". Can you
19 give me a percentage?

20 A I don't know. As I say, it's
21 certainly a common enough occurrence that a
22 surgeon who looks at the biopsy report that
23 Ms. Carey had, would look at that and say,
24 okay, there's no question that is benign, but
25 there's no question that she needs to be

1 followed. It's a very simple premise here. I
2 agree with Dr. Diehl that this is a simple
3 case.

4 Q You also agree that the findings, as
5 noted by the pathologist, are benign?

6 A Absolutely.

7 Q And a fibroid adenoma is not
8 premalignant; agreed?

9 A I would say it's not. Again, you can
10 find literature that says it is, but I think
11 the general consensus in the field is that
12 fibroid adenomas, in and of themselves, are not
13 a premalignant condition.

14 Q You would agree that the majority of
15 atypical cells are not premalignant?

16 A The majority --

17 Q Let me rephrase the question.

18 A Yes.

19 Q You would agree that the majority of
20 not atypical hyperplasia is not premalignant?

21 A Yes.

22 MS. LEWIS: I want to object to the
23 form of the question. It's after the
24 fact, but --

25 MS. SCHWARTZ: That's okay.. There

1 were about four negatives in the question.

2 Q You would agree that the medical
3 literature indicates that women with fibroid
4 adenoma were less likely to progress breast
5 cancer?

6 MS. LEWIS: Objection.

7 Do you understand that question?

8 THE WITNESS: I do. I think that
9 there are, you can find papers that say
10 they have actually a less, a less
11 predisposition. You can also find papers
12 that show that there is a higher
13 predisposition.

14 So I think, as I say, while it's a,
15 you could find papers on both sides,
16 clearly, I think the general consensus as
17 we sit here today, is that a fibroid
18 adenoma, in and of itself, is not
19 something that would leave a women at
20 increased risk of developing breast cancer
21 in the future.

22 Q But does it leave her at a decreased
23 risk?

24 A I would say that that would be -- I
25 would probably say no.

1 Q So atypical hyperplasia puts a
2 patient at a greater risk for breast cancer
3 than non-atypical hyperplasia?

4 A Yes.

5 Q Inter-ductal epithelial hyperplasia
6 is not atypical?

7 A Correct.

8 Q What recommendations do you make for
9 follow-up in a patient with findings such as
10 Ms. Carey's?

11 A I think this is obviously the crux of
12 the matter and why we're here. This is a
13 patient who clearly should have been followed
14 by the breast surgeon. He should have seen her
15 six months later for an examination and most
16 likely a sonogram. He clearly should have seen
17 her a year later for an examination and a
18 sonogram and/or a mammogram. And then she
19 should have been followed on a yearly basis,
20 depending on what the sonogram and/or mammogram
21 showed.

22 I think that that was, I mean, even
23 Dr. Peyser agreed that that would have been the
24 appropriate follow-up for this patient. I
25 don't think it's controversial. And there is

1 no, there is nobody involved in this case who
2 doesn't say that there is an increased risk.
3 Albeit smaller, not as much as atypia, but
4 clearly there's not an expert who said that
5 she's not at some increased risk for breast
6 cancer.

7 I'm not saying she needed treatment for
8 it, I'm not saying she needed a prophylactic
9 mastectomy, I'm not saying she needed an MRI.
10 She needed to be seen by a breast surgeon, and
11 she needed to be considered for at least a
12 sonogram and most likely a mammogram. Very
13 simple.

14 Q You have had patients with whom you
15 have made recommendations and they have failed
16 to follow them; yes?

17 A Yes.

18 Q And you have had patients whom you
19 have recommended they return, and they have
20 ceased to return; agreed?

21 A Yes.

22 Q You have had patients who you sent to
23 another doctor for continued care, continued
24 monitoring, and they have failed to do that;
25 agreed?

1 A Yes.

2 Q You can't force a patient to follow
3 through with your instructions; correct?

4 A Absolutely.

5 Q Did you make any character judgments
6 in this case?

7 A Character -- no.

8 Q Okay, did you make an assumption as
9 to who was telling the truth, the plaintiff or
10 Dr. Peyser, as to what was told to this patient
11 regarding follow-up?

12 A I think that the record speaks for
13 itself. I don't think that Dr. Peyser ever
14 said he told the patient anything about
15 follow-up. He planned to do that on his next
16 visit, which never occurred.

17 And I -- one of the most telling things is
18 when Mr. Carey developed a mass three years
19 later, she came back to Dr. Peyser. It wasn't,
20 I don't think she felt uncomfortable, it wasn't
21 like she hadn't done what he told her to do.
22 Everything the record would point to, would
23 show she did what she did. She had the biopsy,
24 she was told to go. And when she developed
25 another problem, she came back to him.

1 There is nothing in the record that there
2 is any behavior otherwise. His, when he saw
3 her in October of '04, he didn't write that she
4 didn't do what I said to do, and she didn't
5 come back. There is none of that. This was a,
6 you know, there is no reason to believe in her
7 mind she didn't do exactly what she was
8 supposed to do.

9 Q Now, you said that Dr. Peyser
10 testified at his deposition that he was going
11 to discuss the follow-up care when she returned
12 to his office.

13 A Yes.

14 Q Did he instruct her to return to his
15 office?

16 A Yes.

17 Q And did she return to his office?

18 A She did not.

19 Q Do you know why?

20 A I don't know why. You know, there is
21 no way of knowing that.

22 Q So Dr. Peyser did prescribe some type
23 of follow-up in coming back to his office;
24 agreed?

25 A Yes.

1 Q And she failed to do that?

2 A Yes.

3 Q So did she, contribute, then, at
4 least in part, to her delay?

5 A Well, the question is that the note,
6 we know what the note says. Okay. We know
7 what the chart says.

8 My criticism of Dr. Peyser, and where I
9 feel he failed below standards, is he had lots
10 of other options. Had he felt strongly about
11 it -- we all deal with breast cancer.. This is
12 not an uncommon thing, as you and I both know,
13 it's probably the largest contributor to
14 malpractice issues and follow-up issues.

15 And number one, he should have, if he felt
16 strongly that she wasn't doing what he said,
17 the first thing you do is you would send a
18 registered letter that you're on record, he has
19 documentation.

20 The second thing he didn't -- which would
21 have been the easiest thing to do, she had two
22 referring doctors that he had written to at the
23 time of the first surgery, before the first
24 surgery. It would have been a simple matter
25 for him to -- he said he met Dr. Manlangit in

1 the hallway many times, it would have been a
2 very simple thing to say, listen, I need to see
3 this woman, she's not doing what I say. That
4 never occurred. He never wrote a letter to
5 Dr. Gargano, saying your patient is not being
6 complaint. So it leads me to believe that
7 Ms. Carey, in her mind, did what she was
8 supposed to do.

9 Q So we know that Dr. Peyser instructed
10 the patient to come back to the office, and she
11 failed to do that?

12 MS. LEWIS: Objection.

13 THE WITNESS: We know that he wrote
14 in his chart that the patient was to
15 return, in, I think three weeks he wrote,
16 of when he saw her on January 8. So, I
17 mean, that's what he wrote, it doesn't
18 necessarily mean what he told her. There
19 is some controversy, but, again, I give
20 him the benefit of the doubt. That was
21 the plan, I give him the benefit of the
22 doubt, he did call.

23 But, again, there are -- that's not
24 where this should have ended, in my
25 opinion. And in most people's opinion.

1 He had lots of recourse, a couple of which
2 were very, very simple.

3 (Discussion off the record.)

4 A I'm saying that if you write the
5 letter, you have to write the letter. In
6 breast cancer, you have to write. You have to
7 be on record as sending something.

8 Q Okay, so it's your testimony, then,
9 if the patient had received -- strike that.

10 It's your testimony that if Dr. Peyser had
11 written a letter telling her to come back, as
12 instructed in the office, that would have been
13 in compliance with accepted standards of care,
14 and he would not have deviated; is that true?

15 A That's true.

16 Q And it's your testimony that his
17 telephone call to the patient advising her to
18 come back, as discussed in the prior visit, was
19 not acceptable and not compliant; is that true?

20 A It's true, it's not compliant,
21 because it's left a message. You don't know
22 who you spoke, you don't know if she got the
23 message. If he had spoken to her on the phone,
24 or if his secretary had said I spoke to her and
25 told her to come back, I would have felt that

1 that was sufficient. But leaving a message, we
2 all have answering services and we all have
3 phones, and you don't know who got the message,
4 or even if it was the correct telephone number.

5 Q So with this benign finding, he
6 needed to actually put it in writing that she
7 needed to come back; yes?

8 A The patient had a benign tumor, which
9 was at increased risk for developing breast
10 cancer. That's all. You know, I think that in
11 his mind, he treated her as if it was purely a
12 fibroid adenoma, and there's nothing that had
13 to be done. And that's my objection, is
14 there's nobody who looked at this case who said
15 she wasn't at increased risk. And that
16 increased risk behooved him to follow up with
17 her, and she needed follow-up. Everybody would
18 agree, including Dr. Peyser, that said had she
19 come back, he would have gotten a sonogram and
20 he would have been instructed her in self
21 breast examination.

22 Q You said he had the opportunity to
23 speak with Dr. Manlangit.

24 A Yes.

25 Q And discuss with him that the patient

1 had not returned. Do you know whether that
2 happened?

3 A Neither of them ever recalled that
4 happening, so I'm going to assume it didn't.

5 Q Did the patient return to
6 Dr. Manlangit after this?

7 A I believe she did. I don't have the
8 chart, but I don't know.

9 Q Well, if the patient had never
10 returned to Dr. Manlangit's office again, what
11 good would it have been to tell Dr. Manlangit
12 that his patient didn't return?

13 MS. LEWIS: Objection.

14 Q She didn't return to Manlangit
15 either?

16 MS. LEWIS: Objection. Objection.
17 Don't even answer it.

18 MS. SCHWARTZ: That's fine, I'll
19 withdraw it.

20 Q Did you real the slides?

21 A No.

22 Q Would you deem Ms. Carey a compliant
23 patient?

24 A Yes.

25 Q Did she have any gynecological or

1 breast exams after this mass was removed from
2 her breast from 2001 to 2004?

3 A I don't think so.

4 Q Did she do self breast exams?

5 A I don't know. I don't know that
6 anybody ever commented on that.

7 Q If she had not done self breast exams
8 and had not been back to a doctor for any
9 gynecological or breast care in three years, is
10 she still deemed a compliant patient?

11 MS. LEWIS: Objection.

12 You can answer.

13 THE WITNESS: It depends on what she
14 was told. If she was told you have a
15 benign problem, then, you know, that's it.
16 You may get another, you could develop
17 another fibroid -- come back.

18 We tell people to come back if there
19 is an issue, and we tell people to come
20 back on a routine basis. There is a big
21 difference. And coming back when they
22 think there is a problem, which is what
23 she did, is being compliant in her mind as
24 to what she was told.

25 Q And even if she was told to come back

1 for a routine basis, she didn't do that,
2 either; agreed?

3 A We don't know that she was told that.

4 Q Well, what is routine for a GYN exam?
5 Annual?

6 MS. LEWIS: Are you a GYN expert?

7 THE WITNESS: I'm not a GYN expert.

8 Q Are you familiar with what a routine
9 follow-up care -- strike that.

10 Are you familiar with routine care for
11 GYN?

12 MS. LEWIS: Given what age?

13 MS. SCHWARTZ: Whatever her age was,
14 she was 30, 20 something.

15 MS. LEWIS: Twenty-nine.

16 A I'm not a GYN expert. I will tell
17 you that in my opinion, from taking care of
18 patients, many women who are not having issues
19 who don't have, have not had pregnancy, have
20 not had problems, don't go to the gynecologist
21 at age 30 on a yearly basis. But I don't know
22 that. There is nothing in the record -- every
23 time Ms. Carey dealt with Dr. Peyser, she did
24 exactly what she was instructed to.

25 Q Except the last visit?

1 A The last visit we don't know that
2 there was, that she was told to come back. The
3 chart says that. That's the only time that
4 there was something that seemed to be out of
5 character. That's why it's unusual. When she
6 was told to go to a sonogram, she went the very
7 next day. When she came for surgery, she
8 showed up. When she came for the post-op
9 visit, she showed up. When she had a problem,
10 you know, she came. She went to every doctor
11 that she was ever told to go to, with the
12 exception of this visit. So makes you wonder.

13 Q How big was the mass when she came
14 back in 2004?

15 A The mass, when she came back in 2004
16 to Dr. Peyser's examination was about 2
17 sonometers. He called it a discord thickening.
18 He was not -- he called it a, he thought it was
19 a recurrent fibroid adenoma. This is was a
20 woman who had a Stage III breast cancer at the
21 time, that the examining physician did not even
22 have a suspicion that it was a carcinoma.

23 So this is a patient who was difficult to
24 follow. It's a young woman with thickened
25 breast. Here was an expert with 33 years of

1 practice and 4,000 cases, who examined her,
2 didn't think it was a cancer. Allowed two
3 months to go by until he did it. So that's why
4 this is a patient who needed follow-up by an
5 expert.

6 Q Is it your position that the cells
7 from where the benign breast mass was removed,
8 that the remaining cells, the hyperplasia that
9 remained, turned into the cancer?

10 A I don't think there is anyway of --
11 this was a patient whose breast tissue was
12 turning over, if you will. She was developing
13 hyperplasia. I think that the pathology report
14 shows that the lesion was, the hyperplasia was
15 there. It doesn't talk about -- you wouldn't
16 talk about margins. This is the breast tissue
17 as it exists.

18 So you're talking about a patient whose
19 remaining breast tissue is at risk of forming a
20 cancer. And I don't think anything was
21 necessarily left. I think that this was what
22 you would expect, or this is what you would
23 fear most in a patient with this breast
24 pathology.

25 Q When do you believe this patient

1 developed cancer in this area?

2 A I think that judging by the fact of
3 the size, and the fact that she had 14 out of
4 25 nodes positive at the time, I think she
5 probably developed it within six months to a
6 year of the first biopsy. This is not
7 something that classically develops in a year.

8 And I mean, we know that she didn't have
9 it four years before, but I would say this is,
10 you know, two to three years in the making.
11 You know, again, that's obviously we can all
12 speculate. But I think it's fair to say this
13 is not something that occurred even a year
14 earlier. This is an advanced disease that has
15 been growing for a while.

16 Q Was it in the same location?

17 A It was in the same location in terms
18 of the same quadrant of the breast. Again, I
19 think there was a lot of, you know, controversy
20 of is it the same -- it doesn't matter. Okay.
21 This is not -- no one here is alleging that a
22 half of a cancer was removed. It didn't
23 matter, if she came back with a tumor in the
24 medial portion of her breast, it wasn't so much
25 the area, it was the breast tissue. The

1 fibroid adenoma that Dr. Peyser removed the
2 first time was an incidental finding. It was a
3 point 6 sonometer lesion in a 4 sonometer area
4 of hyperplasia. That was an incidental
5 finding. This was the breast tissue she had.
6 And the follow-up, your concerned about her
7 breast tissue in both sides. I mean, this is
8 breast tissue that is at risk of forming a
9 cancer.

10 Q So it was in the same quadrant, but
11 not necessarily the same location?

12 A I don't think it's, you know, that's
13 again, I don't think it matters. It was in the
14 upper outer quadrant of the breast.

15 Q I understand that to you it doesn't
16 matter.

17 A Right.

18 Q I'm just trying to get as to where
19 your opinion is. Was it in the same exact
20 location?

21 A My opinion, I would say it was in the
22 same area. You know, exact location, you know,
23 when you make an incision, Dr. Peyser's
24 contention that because he made an incision
25 2 millimeters -- by the way, he was never sure

1 that he made a second incision. It would be my
2 opinion that no surgeon would make an incision
3 a sonometer away from a previous one, because
4 through the same incision you can stretch it
5 and you cover a lot of, you can remove and
6 examine a lot of breast tissue, but I think it
7 was in the same area of the breast, yes.

8 Q You agree that fibrocystic change
9 does not -- strike that.

10 You would agree that fibrocystic change
11 does not change into cancer, does not grow into
12 cancer?

13 A I agree with that statement,
14 fibrocystic change does not, in and of itself,
15 is not a predisposition to breast cancer.

16 Q And you would agree that women with
17 fibrocystic change are not at an increased risk
18 for the development of breast cancer because of
19 the change in and of itself?

20 A Correct.

21 Q You would agree that just because a
22 breast cancer occurs in the same area of a
23 prior excised fibroid adenoma or fibrocystic
24 change, that the cancer did not necessarily
25 develop from that breast tissue that remained?

1 A Well, in this case we're talking
2 about dysplasia, not fibrocystic change. It
3 was fibrocystic change, but that's not what
4 we're talking about.

5 Q Fair enough.

6 A We're talking about the dysplasia.
7 But, yeah, I think just because it occurred
8 there, her whole -- that wasn't the only -- you
9 would make, the assumption would be her entire
10 breast is of similar tissue. And so the risk
11 is, you know, throughout the entire breast and
12 even the other breast. Which is again, why
13 they opted most likely for a bilateral
14 mastectomy. So it has less to do with where
15 the biopsy was, and more to do with her breast
16 tissue.

17 Q Because of the breast tissue that was
18 there in her breast, would you agree that even
19 if it had been diagnosed at an earlier stage,
20 that she would have required the bilateral
21 mastectomy, given the nature of her breast
22 tissue?

23 A I think that the treatment about a
24 breast, again, the mastectomy may or may not
25 have been offered. And that, but I think the

1 issue in the delay here is not the bilateral or
2 not the other mastectomy, it's the extensive
3 nodal involvement, which is what is going to
4 affect her prognosis.

5 Q But just with regard to the surgery,
6 you would agree that it's possible she would
7 have had the bilateral mastectomy at not matter
8 what stage she was diagnosed at?

9 MS. LEWIS: Don't speculate.

10 A I think, you know, again, she's a
11 young woman with a breast cancer. Many women
12 opt for a prophylactic mastectomy on the other
13 side. As I say the issue here, the left side
14 was being treated prophylactically. The issue
15 here is what the prognosis is, because of the
16 extent of the disease.

17 Q I'm sorry, it was my misstatement.

18 A Okay..

19 Q The left side was always
20 prophylactic, whether it was at the time of
21 diagnosis or four years prior it would have
22 been prophylactic; agreed?

23 A Yes.

24 Q Okay. The right side, the breast
25 that was --

1 MS. LEWIS: I'm going to object. I'm
2 going to object to that question.

3 MS. SCHWARTZ: Okay.

4 Q The right breast, which is the side
5 that ultimately had the cancer, that breast,
6 going back now to 2001, you would agree that
7 some patients would have opted to have a
8 mastectomy of the right breast, even if she had
9 been diagnosed with a smaller cancer?

10 MS. LEWIS: Don't speculate.

11 A I think the treatment for breast
12 cancer is you have options. And again,
13 depending on the pathology and depending on
14 what she wanted to do, it's variable.'

15 Certainly, you know, a mastectomy is
16 always an option. Most people opt for breast
17 conserving operations. But I think that, you
18 know, it's a choice that she would have had to
19 make at any time.

20 Q But given the nature of her breast
21 tissue -- and you've just told me her breast
22 tissue has this type of dysplasia in it that
23 would have been there in 2001, and it was there
24 in 2001 and it was there in 2004; is that true?

25 A Yes.

1 Q So she would have been faced with the
2 same dilemma as to mastectomy versus breast
3 conserving treatment whether it was 2001 or
4 2004; agreed?

5 MS. LEWIS: Objection. Objection.

6 MS. SCHWARTZ: Are you directing him
7 not to answer?

8 MS. LEWIS: Yes.

9 MS. SCHWARTZ: Why?

10 MS. LEWIS: Because your question has
11 multiple parts.

12 MS. SCHWARTZ: Okay, let me rephrase.
13 Since directing him not to answer is not
14 the appropriate remedy.

15 MS. LEWIS: That's all right.

16 Q You would agree that this patient
17 would have had the same dysplasia in 2001 as in
18 2004 in her breast, the same makeup of breast
19 tissue?

20 A Yes. Her breast tissue was her
21 breast tissue, yes.

22 Q You would agree that her breast
23 tissue in this case of dysplasia, put her at an
24 increased risk for developing cancer?

25 A Yes.

1 Q As you have told me about 50 times
2 today?

3 A Absolutely.

4 Q And that did not change from 2001 to
5 2004; correct?

6 A Correct.

7 Q You would agree that mastectomy would
8 have been an option given to her, considering
9 the nature of her breast tissue, if it had been
10 diagnosed in 2001, 2, 3, 4, or 5?

11 A Yes.

12 Q You would agree that there are small
13 tumors which have a poor prognosis?

14 A Yes.

15 Q And large tumors where a patient does
16 well?

17 A Yes.

18 Q Is it your understanding that
19 Dr. Peyser advised this patient to return to
20 her OB/GYN for care?

21 A I don't -- there is no, there is no
22 record, and I don't think there's anything that
23 he said at his deposition which talked about
24 anything other, anything other, any follow-up
25 at all. His plan was to discuss that at the

1 next visit, which never occurred.

2 Q You would also agree, though, that
3 the plan to have her back was a plan of
4 follow-up, to have her back to the office?

5 A To have her back was the follow-up,
6 yes.

7 Q You would agree that if Dr. Peyser
8 had advised the plaintiff to return to him if
9 there were any changes in her breast, that that
10 would have complied with accepted standards of
11 care?

12 A No, I don't. I think that's the
13 major issue here.

14 Q So routine follow-up was not
15 appropriate?

16 A Routine follow-up for this patient
17 would have been a yearly examine, not coming
18 back when you have a problem.

19 Q Right, but that's not appropriate;
20 correct? The routine, one year, once per year
21 was not appropriate?

22 A Once a year is a -- you said --

23 Q Bad question.

24 A Okay.

25 Q Let me rephrase the question.

1 A Yes.

2 Q You would agree that an annual
3 follow-up after he saw her in 2001 would have
4 been inappropriate?

5 A By whom?

6 Q By Dr. Peyser.

7 A An annual follow-up by Dr. Peyser,
8 following a six month visit and then annual
9 follow-ups would have been the appropriate
10 follow-up.

11 Q Okay, but the first follow-up needed
12 to be six months?

13 A The first one should have been six
14 months.

15 Q The standard of care required him to
16 have her back in six months, is that your
17 testimony?

18 A Yes.

19 Q Were breast MRIs used in January of
20 2001?

21 A They were.

22 Q Were they used routinely?

23 A No, I don't -- again, the idea that
24 some of the expert raised that she needed some
25 special treatment -- she needed follow-up by a

1 breast surgeon. And the follow-up would have
2 been an examination and a sonogram, and maybe a
3 mammogram.

4 Nobody -- at least it's not my opinion
5 that she needed to be enrolled in some MRI
6 program, that she needed gene testing, that she
7 needed genetic counseling. She needed to be
8 followed by a breast surgeon for an
9 examination, a sonogram and possibly a
10 mammogram, depending on the findings, then you
11 decide what to do.

12 Q Because in your report, you state
13 that she required MRIs depending on the
14 findings.

15 A Depending on the findings. If she
16 comes back now and she has a sonogram which is
17 equivocal, or you have a mammogram which is
18 difficult to read, one of the options you have
19 clearly is an MRI. I would not say that she
20 needs an MRI as a routine screening. She
21 doesn't need a mammogram as a routine
22 screening, but she does need to be followed by
23 a breast surgeon for this disease.

24 Q So the standard of care did not
25 require Dr. Peyser to order a screening MRI in

1 this patient?

2 A Absolutely not.

3 MS. LEWIS: Asked and answered about
4 a million times.

5 MS. SCHWARTZ: A million times? I
6 just started the questioning five minutes
7 ago.

8 Q When do you believe this cancer was
9 first clinically diagnosable?

10 A I would think that certainly a year
11 later it would be my -- if you're asking me to
12 hypothesize, I would say that when this patient
13 returned at a year, he would have found some
14 thickening there. Some, the sonogram would not
15 have been normal breast tissue. You might have
16 opted for a core biopsy. You have lots of
17 options. But in my opinion, this would not
18 have been a normal examination and a normal
19 sonogram that he would passed on and said you
20 know what, see me again in a year. But then
21 again, that's a hypothesis.

22 Q Now, she was diagnosed with a III,
23 Stage III?

24 A Yes, IIIC.

25 Q IIIC. Is she going to die from her

1 disease?

2 A Most likely, yes.

3 Q Her chance of dying from this disease
4 is greater than 50 percent?

5 A Yes.

6 Q You state in your report on page 6
7 that the diagnosis of cancer would have
8 occurred when the cancer is at a more
9 treatable, perhaps even curable stage, thereby,
10 improving Ms. Carey's likelihood of survival.

11 A Yes.

12 Q Correct?

13 A Yes.

14 Q And what stage do you believe her
15 cancer would have been diagnosed if she had
16 come back one year later?

17 A I think that you would have,
18 hopefully, ideally found it within a node free
19 situation.

20 Q That's a II?

21 A Yeah.

22 Q So you believe that one year later it
23 was about a II?

24 A You know, it depends on the size.
25 But a I to a II, but, again, I think the major

1 issue here is she would have had a much better
2 chance of being node, disease free in her lymph
3 nodes.

4 Q Now, you don't discuss in your report
5 the prognosis for each stage?

6 A No.

7 Q You would defer to a medical
8 oncologist?

9 A I think it's better handled by an
10 oncologist. But I think clearly we would all
11 agree that II's do better than III's, and I's
12 better than II's.

13 Q And you agree that even patients with
14 Stage I and the smallest tumor do not have 100
15 percent survival?

16 A Correct.

17 Q Particularly disease-free survival;
18 agreed?

19 A Correct.

20 Q So that patients with Stage I cancer
21 have lesser than a 100 percent chance of
22 disease-free survival?

23 A Yes. There is no 100 percent, right.

24 Q And patients with Stage II have a
25 lesser than a 1 percent chance of disease-free

1 survival?

2 A Lesser than --

3 Q Lesser than a Stage I, they have less
4 of a chance of disease-free survival than a
5 patient with Stage I?

6 A Yes.

7 Q Okay, but you're going to defer all
8 of those to a medical oncologist?

9 A Yes.

10 Q Do you know whether Dr. Peyser sent
11 the reports with the pathology to
12 Dr. Manlangit?

13 A He said he did not.

14 Q Do you know whether he discussed the
15 pathology with Dr. Manlangit?

16 A He said he did not.

17 Q Do you know whether he discussed the
18 plan for follow-up with Dr. Manlangit?

19 A Again, I think both of them testified
20 that there was no communication between them
21 following the surgery.

22 Q Do you know whether Dr. Manlangit
23 tried to reach out to this patient after he
24 failed to return to his office? After she
25 failed to return to his office? I'm sorry.

1 MS. LEWIS: Objection.

2 THE WITNESS: I think it was his
3 testimony, I think I saw a deposition --
4 you know, he -- as far as his testimony
5 was, and from his chart, I don't think he
6 ever knew that she had even had the
7 biopsy.

8 Q But he knew she was going for the
9 biopsy?

10 A He -- what he knew is that he had
11 referred her to Dr. Peyser. He never followed
12 it up, he never knew whether she had followed
13 up or complied. I think that was the last he
14 knew of that issue.

15 Q And he, being Dr. Manlangit?

16 A Dr. Manlangit.

17 Q And did Dr. Manlangit have a duty to
18 follow up on his patient that he referred to a
19 breast surgeon?

20 MS. LEWIS: Objection. Don't answer,
21 it's not your area.

22 Q Well, let me ask you this: As a
23 breast surgeon, when a patient is referred to
24 you by an OB/GYN, do you send that physician a
25 letter advising that the patient came to you?

1 A I usually send a letter about the
2 initial meeting, and what the plan was,
3 whether, you know, again with breast disease,
4 you may say I'm doing nothing, I'm going to
5 biopsy, I'm going to schedule, I'm going to see
6 her in six months, and you do that.

7 And usually after the operation, we send a
8 note saying that we did the biopsy. You know,
9 again, now whether you send a pathology -- but
10 you would outline in that letter my plan is
11 have her return to you, or to see her in six
12 months, or a year, or return when necessary,
13 PRX.

14 Q And we know that the first letter was
15 sent in this case, we know that there was no
16 second letter; is that agreed?

17 A Agreed.

18 Q Okay. And we know that Dr. Manlangit
19 did not contact Dr. Peyser to find out if the
20 patient underwent biopsy; correct?

21 MS. LEWIS: Objection.

22 THE WITNESS: Correct.

23 Q And do you have an opinion that he
24 acted or did not act within the accepted
25 standards of care?

1 MS. LEWIS: Objection, objection,
2 objection. Don't answer.

3 Q You are not qualified as an OB/GYN,
4 is that why you're not comfortable?

5 A Yes, I don't know what their
6 standards are. I know what the standards of
7 care for the general, for the breast surgeon
8 should be.

9 Q Well, when you have a patient who had
10 not followed up with an OB/GYN, have you ever
11 had that happen before?

12 MS. LEWIS: Objection.

13 MS. SCHWARTZ: Let me ask a different
14 question.

15 MS. LEWIS: Yeah.

16 Q Had you ever had a patient that came
17 to you for an initial consultation and you
18 recommended a biopsy that never went through
19 with that biopsy?

20 A Yes.

21 Q Did you hear from the breast surgeon
22 with regard to that patient? I'm sorry, did
23 you hear from the OB/GYN with regard to that
24 patient?

25 A If that happens, I'm very meticulous

1 about documenting and speaking to the
2 gynecologist, and having my chart reflect the
3 fact that the patient is refusing the
4 procedure, or the patient was seen at, you
5 know, Sloane Kettering, and underwent the
6 procedure there. So, I mean, I try and close
7 the loop as best as I can.

8 Q Do you ever refer your patients to
9 anyone?

10 A Sure.

11 Q And when you refer your patients, do
12 you always receive a letter back from the
13 referred physician?

14 A I would say that, you know, "always"
15 is a strong word, but I would say, and
16 especially when you're dealing with oncology
17 and radiation, those would be the ones, you
18 know, if we send them -- we do a lot of
19 consulting, and we get letters the vast
20 majority of the time.

21 Q And do you -- did you ever have a
22 patient who failed to follow up with one of
23 your physicians that you have referred them to?

24 A Yes.

25 Q And in that circumstance, did you

1 receive a call from the physician who you
2 referred? You wouldn't know?

3 A No, you wouldn't necessarily know if
4 they didn't show up.

5 Q And if you didn't receive a letter,
6 did you then place a call to that consultation
7 physician, the consulting doctor?

8 A Again, it depends what the issue was.

9 If I had a patient who came to me with
10 abdominal pain and I said you know what, I
11 think you should see the endoscopist, you know,
12 I think you have an ulcer. If they didn't
13 follow-up, I may or may not follow-up.

14 If I had a patient who had rectal bleeding
15 and I sent them to a GI doctor for colonoscopy
16 because I felt cancer was an issue, I would
17 follow-up with that doctor.

18 Q You would agree that there was no
19 note of a mass by this patient until shortly
20 before the visit to Dr. Peyser in 2004?

21 MS. LEWIS: Objection. I don't
22 understand. Do you understand the
23 question?

24 THE WITNESS: I think Dr. Peyser's
25 note said that she felt something six

1 weeks before her visit to him in October
2 of '04.

3 Q Are you familiar with the Gail Model?

4 A Yes.

5 Q And that's for breast cancer risk
6 assessment?

7 A Yes.

8 Q Do you use that in your practice?

9 A Yes.

10 Q How frequently?

11 A You always, you know, when the
12 indications are there, you know, we have a
13 computer on the desk and you could plug it in,
14 you get a sense of what the risks are. It's
15 nice to show the patients.

16 But, again, you have to know, and I think
17 Dr. Diehl was sort of being a little bit
18 disingenuous -- the Gail Model does not talk
19 about people 30 years old. So his idea of
20 extrapolating well, I'm going to use 35,
21 because it doesn't go down to 30, there is a
22 reason it doesn't apply to a 30-year old, is
23 because it doesn't have any valid statistics.
24 So you can't glean anything from that.

25 So I mean, that whole idea, you know,

1 where he's, well, I'll use 35, because that's
2 the lowest it goes, and extrapolate from that,
3 I don't think is valid.

4 Q Wouldn't the numbers be lower if the
5 patient was younger?

6 A Well, the patient, 30-year old
7 patient has to now survive five years and not
8 develop cancer to get to be 35. So the numbers
9 are, you know, you can't say anything about it.
10 And probably one of the reasons is that 30-year
11 old people who develop this type of disease are
12 obviously in a different group. They are
13 developing things earlier, they have a much
14 longer outlook on, you know life expectancy.

15 So but all I know is that statistics, for
16 whatever reason the Gail Model does not,
17 specifically does not include -- it's valid for
18 people from 35 to 90, I believe.

19 (Recess.)

20 Q Would you agree that findings without
21 atypia are associated with a negligible or null
22 risk -- strike that.

23 Would you agree that findings without
24 atypia are associated with negligible
25 additional risk of breast cancer?

1 A I think that that's my, you know,
2 criticism. And again, I think your experts are
3 being a little bit disingenuous. But, you
4 know, we all know that the risk is increased
5 with hyperplasia without atypia. We all know
6 that it's not as great as atypia.

7 But to say that it's a -- it's a risk,
8 it's an increased risk, and why wouldn't you
9 deal with that? It's a foolishness not to, you
10 know, to say -- it's just not true. It is an
11 increased risk. Is it as high as atypia? We
12 all agree it's not, but that doesn't mean it
13 doesn't warrant close observation and
14 follow-up.

15 Q Have you ever used the Gail Model in
16 your practice for a patient under 35?

17 A No, you can't. If you plug in, it
18 doesn't accept it.

19 Q Do you use the Gail Model in your
20 practice now?

21 A Yes.

22 Q Have you ever had a patient under 35
23 with breast cancer?

24 A Yes.

25 Q How many?

1 A Oh, I can think of at least three or
2 four that come to mind.

3 Q What is the incidence for patients 29
4 with breast cancer?

5 A It's extremely low.

6 Q One in 200,000?

7 A I don't know the number.

8 Q It's about 1 in 200,000.

9 A Okay, I would accept that.

10 Q You would agree that using the Gail
11 Model on this patient with the statistics of 35
12 years, old puts her at about 1.7 increased risk
13 of breast cancer?

14 A Yes.

15 Q And 1.7 is lower -- strike that.
16 1.7 -- strike that.

17 Did you apply the Gail Model to this
18 patient, assuming that she was 35?

19 A I did.

20 Q You did. And what number did you
21 come up with?

22 A It came up with -- I think it came up
23 with her risk was 0.3, and a similar population
24 would be 0.5. And I don't remember the, ah, I
25 think it was 1.5 or 1.7, but, again, the point

1 here is that it's increased. We all agree that
2 it's increased. And there is no reason to, you
3 know, we can't talk about it as if there wasn't
4 an increase. And that's the only reason I'm
5 here, is that this was not -- she was not
6 followed as if there was any risk to her.

7 Q You would agree that pursuant to the
8 Gail Model, assuming that she was 35, okay, the
9 follow-up is only recommended for a patient
10 with risk factors in aggregate, placed her at a
11 risk greater than 1.7 times the risk of an
12 average woman without factors?

13 A I don't think the Gail -- the Gail
14 Model talks about the risk of developing breast
15 cancer and, you know, in terms of certain
16 things. There's nobody who would have a
17 patient who had this pathology report in a
18 40-year old -- you don't base the individual
19 care of a patient on a number on the Gail
20 Model. It's useful information, it tells you,
21 you know, what the risks are.

22 But, again, we are talking about here
23 about basic routine follow-up. A woman who has
24 not an increased number on the Gail Model who
25 is 40, you would still get a yearly mammogram,

1 that's what you would do. And there is no, you
2 know, the Gail Model is not designed for an
3 individual, to tailor an individual routine
4 follow-up or routine care.

5 Q Now, the Gail Model includes clinical
6 practice guidelines in oncology; correct?

7 A Yes.

8 Q And you would agree these are the
9 most frequently updated clinical practice
10 guidelines available in oncology?

11 A They are.

12 Q And these guidelines address cancer
13 detection?

14 A Yes.

15 Q And they address cancer prevention?

16 A Yes.

17 Q And they address cancer risk
18 reduction?

19 A Yes.

20 Q And they address work up?

21 A Yes.

22 Q Follow-up?

23 A Yes.

24 Q Diagnosis?

25 A Yes.

1 Q Treatment?

2 A Yes.

3 Q And supportive care?

4 A Yes.

5 Q You would agree that the guideline
6 algorithms are nationally accepted to be the
7 best practice guidelines available?

8 MS. LEWIS: Objection.

9 THE WITNESS: They are used, and
10 everybody knows who use them, there are
11 certain -- there other issues that we talk
12 about, you know, parity, family history
13 and things like that, that the Gail Model
14 does not include, because they haven't
15 been able to find an association. But it
16 doesn't mean you don't ask those
17 questions, it doesn't mean we don't
18 consider them.

19 It's a, it's a tool. It's not, you
20 know, nobody plugs in a number and decides
21 okay, you're going to do this. It tells
22 you -- you use it more in terms of
23 prevention and what to do with higher risk
24 patients. Does this patient, should a
25 patient like this be getting birth

1 controls, using birth control pills,
2 should a patient like this be getting
3 hormone -- an older woman be getting
4 hormone receptors. Hormone replacement
5 therapy. It's used for that type of
6 issues, not for what I would consider
7 routine management of patients with breast
8 disease.

9 Q You would agree, though, that these
10 are the best practice guidelines out there?
11 They may not be perfect, but they're the best
12 available?

13 A They are guidelines, yes..

14 Q You would agree that these guidelines
15 are followed by the academia?

16 A Yes.

17 Q And they are followed by private
18 institutions?

19 A Yes.

20 Q And physicians?

21 A Yes.

22 Q Hospitals?

23 A Yes.

24 Q And the Gail Model indicates that --
25 I just want to recap, bear with me. The Gail

1 Model indicates that follow-up is recommended
2 when the patient's risk is 1.7 times, greater
3 than 1.7 times; correct?

4 A You know what, I don't know that
5 that's true. I don't know that for a fact.
6 I'm sorry.

7 Q That's okay. You would agree that
8 when you calculated this patient as if she was
9 35, her number was less than the number
10 required for follow-up by the Gail Model?
11 Solely the Gail Model?

12 MS. LEWIS: Objection.

13 THE WITNESS: I don't think the Gail
14 Model would take, would look at this
15 patient, who had this pathology report,
16 and say your risk is not 1.7 -- I'm using
17 that as a number, and say you're home
18 free, go, and come back when there's a
19 problem. That's not what the usage of the
20 Gail model is.

21 There is nobody who takes care of his
22 patient, including Dr. Peyser, by the way,
23 who said I wanted her to come back, she
24 needed to come back, I wanted to do a
25 sonogram -- there is nobody who would use

1 the Gail Model to say you have no risk,
2 forget about it, go home.

3 And that's, that's, I think -- and I
4 don't think Dr. Diehl said that.
5 Dr. Diehl spoke of a slight increase. You
6 know, slight increase is increase, you
7 know. And again, where no one here is
8 advocating putting this woman in a bubble..
9 The follow-up we're advocating is very,
10 very simple follow-up.

11 Q This patient had no family history of
12 any significance for breast cancer; correct?

13 A She had, as it turned out we know
14 from the records that it was a second or third
15 cousin. Dr. Peyser, his impression was that it
16 was an aunt. And, again, it doesn't -- it's
17 not a factor that you hang your hat on and say
18 I'm going to do this.

19 You have a 30-year old with this
20 pathology. As far as Dr. Peyser knew, her aunt
21 had breast cancer. He knew that she was 30
22 years old and didn't have a child. So she has
23 risk factors for breast cancer, whether the
24 Gail Model puts it at a number that you or
25 somebody doesn't consider very high, she has --

1 and again, Dr. Peyser is not saying that he
2 didn't, he didn't want to do these things. So I
3 don't, I don't understand some of the
4 questions. But, nevertheless, this is a woman
5 who needed follow-up, by anybody's criteria.

6 Q Again, this patient did not have any
7 significant family history for breast cancer;
8 agreed?

9 A She did not.

10 MS. SCHWARTZ: I'm almost done. Do
11 you have any questions?

12 MS. LEWIS: I have to take a second
13 to think about it.

14 MS. SCHWARTZ: Go ahead. I'm going
15 to just go over my notes real quick.

16 MS. LEWIS: I just have a couple of
17 questions.

18 EXAMINATION BY MS. LEWIS:

19 Q Doctor, just for clarification, is it
20 your testimony that the cancer in Ms. Carey
21 arose at the same location as the location of
22 the biopsy and excision in 2001?

23 A Yes.

24 MS. SCHWARTZ: Objection.

25 Asked and answered.

1 Q Doctor, is it your opinion the Gail
2 Model is the standard of care, and was the
3 standard of care in 2001 with respect to
4 follow-up treatment for a patient such as
5 Ms. Carey?

6 A I don't think the Gail Model would
7 necessarily address a follow-up in a patient
8 such as Ms. Carey. Number 1, she doesn't fit
9 in the Gail Model, and it's not designed to
10 tell you how to routine follow, routinely
11 follow a patient.

12 Q And with respect to cancer staging,
13 do you have a general familiarity with the
14 concept of prognoses in patients according to
15 the stage and lymph node involvement?

16 A I do.

17 Q And just based on your general
18 knowledge and familiarity with the staging of
19 cancer, what -- if the cancer had been caught
20 at a Stage I, what would her prognosis have
21 been as of that time?

22 MS. SCHWARTZ: Just note my
23 objection. Two reasons: First, this
24 doctor has already testified that he would
25 defer to an oncologist in this regard.

1 Secondly, this doctor did not author
2 anything about prognosis or staging in his
3 report. So all of these now numbers he is
4 about to give us are new testimony that
5 certainly should have been provided in
6 writing prior to this deposition.

7 MS. LEWIS: Noted.

8 THE WITNESS: I think the five-year
9 survival of a patient with Stage I would
10 be in the range of 90 to 95 percent.
11 Stage II, probably 65. And Stage III,
12 probably 30.

13 Q Okay, and with respect to pervasive
14 lymph node involvement, had Ms. Carey been
15 diagnosed at a time prior to invasion into, I
16 think 14 nodes --

17 A She had 14 out of 25 nodes involved.

18 Q Just generally based on what
19 knowledge you have in your practice, would her
20 outcome have been any different?

21 A Yes, I think that the more, the more
22 lymph nodes you have, the worst the prognosis.
23 And she also had lymph nodes involved in all
24 three levels, which would again indicate a much
25 more, a wider spread of the disease.

1 Q And, Doctor, just so the record is
2 clear, you did read and were provided the
3 radiology report of our expert, Dr. Maniatis;
4 correct?

5 A Yes.

6 Q Okay, just so the record is clear on
7 that. And, Doctor, do you have any reason to
8 disagree with the content of that report?

9 A None.

10 Q And, Doctor, is it your opinion that
11 the defendant in this case attempts to offer
12 two conflicting defenses?

13 A Well, I think that one of the
14 defenses is that the patient didn't comply.
15 And, you know, that other one is that it wasn't
16 necessary.

17 Q Just so the record is clear, are you
18 in any way, shape or form giving an opinion
19 here today with respect to the care that was or
20 was not rendered to Ms. Carey from, or by
21 Dr. Manlangit?

22 A I am not.

23 Q In your practice as a surgeon who
24 treats patients with breast disease and
25 performs excisions of breast masses, is it the

1 habit and practice of a surgeon in performing
2 such a role to personally review pathology
3 slides?

4 A No.

5 Q And what is your description or
6 understanding of what a multi-disciplinary
7 breast cancer center is, or breast center is?

8 A That would be a center where under
9 one roof, if you will, a surgeon practices with
10 a radiologist, with an oncologist, with a
11 radiotherapist. It's a sort of one-stop
12 shopping, if you will, for women with breast
13 disease.

14 Q And with respect to that initial
15 diagnosis of breast disease, in your opinion
16 does it make a difference whether or not the
17 patient is diagnosed by a doctor who is a part
18 of such a center?

19 A No.

20 Q And how about with respect to doctors
21 who may come forward to testify as experts?

22 A I think that there's, you know, none
23 of the experts I think -- Memorial is not a,
24 necessarily a, ah, a one place where everything
25 is done under one roof. You know, this

1 oncologist at Memorial often would treat people
2 who were biopsied elsewhere, who had chemo
3 therapy elsewhere. Again, it has nothing to do
4 with the level of expertise or the type of
5 treatment.. It's, many respects it's
6 convenience, it's insurance driven. But I
7 think if the people are qualified, they are
8 qualified no matter how they practice.

9 Q And just for the record, just to
10 clarify in terms of how we reached out to you
11 as an expert. It was not through any referral
12 service whatsoever?

13 A It was not.

14 MS. LEWIS: Okay, thanks.

15 MS. SCHWARTZ: Are you done?

16 MS. LEWIS: Yes.

17 MS. SCHWARTZ: I have some.

18 EXAMINATION BY MS. SCHWARTZ:

19 Q Doctor Maniatis, she's a radiologist?

20 A Yes.

21 Q And you reviewed her report?

22 A I did.

23 Q Did you review the films?

24 A No.

25 Q So you would be relying totally upon

1 a radiologist?

2 A Yes.

3 Q You would defer to a radiologist in
4 this regard?

5 A Yes.

6 Q You gave me some numbers for cancer
7 staging. What is the basis for those opinions,
8 what literature is that from?

9 A I think that's standard literature.
10 I don't, you know, just from reading about
11 breasts. And those are, you know, those are
12 rough. You know, the numbers change, because
13 the treatment for breast cancer changes so
14 dramatically from year to year, you know, with
15 the type of treatment. So again, I think that
16 they are not etched in stone. But the idea
17 being that Stage I has a better prognosis than
18 Stage III.

19 Q Did this patient have an aggressive
20 tumor?

21 A I think it would be aggressive, on
22 the basis of the number of lymph nodes
23 involved, yes. It's also ER negative and PR
24 negative, so that would be, you know, that
25 would be, ah -- I don't know aggressive. It

1 would be a poor prognosis.

2 Q What grade was her tumor?

3 A The grade I think was 3. Most of the
4 features were at the highest, with the mitotic
5 figures, I think the grade was -- I don't -- I
6 am not sure.

7 Q Grade 3 is poorly differentiated?

8 A Yeah, I think she was at the upper
9 level of most of the poorer prognostic
10 elements.

11 Q And these elements, these factors,
12 poor or good prognostic indicators don't change
13 over the time of the tumor; correct?

14 A The features of the tissue
15 themselves, right, of the cancer itself
16 wouldn't change. The spread would.

17 Q She would have had these poor
18 prognostic indicators absent the spread to the
19 nodes -- the poorly differentiated, the ER, PR
20 negative -- those would have all been the same
21 whether she was diagnosed in 2001, 2, 3, or 4?

22 A One would think so, yes.

23 Q Okay. And HER 2 NEU, did we go over
24 that?

25 A HER 2 NEU was, I think, was

1 nonreactive in her case. She was HER 2
2 negative.

3 Q And that was -- I'm sorry.

4 A That was nonreactive, she was not HER
5 2 negative.

6 Q And is that a poor prognostic
7 indicator or a good prognostic indicator?

8 A That's a better prognostic indicator.
9 But she was what we call a triple negative,
10 which is not a good prognosis.

11 Q And the triple negative, again, would
12 have been present whether she was diagnosed in
13 2001 or 2004; correct?

14 A Yes.

15 MS. SCHWARTZ: I have nothing
16 further, thank you for your time.

17 MS.. LEWIS: Thank you.

18 (The deposition was concluded at
19 11:17 a.m.)

20 (Exhibits retained by Ms. Lewis.)
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